



CASH &/OR SECURITY JOURNAL REQUEST FORM

Instructions must be dated within 30 days from the date of receipt by Apex.

DATE	
------	--

ACCOUNT INFORMATION					
Delivering Account No.					
Delivering Account Name/Title					
Receiving Account No.					
Receiving Account Name/Title					
If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy.					
TRANSFER INFORMATION					
Full Transfer of Cash & Securities? <small>(Accrued Interest will also be transferred)</small>					
Reason for Transfer					
For Partial Transfers, complete the following:					
		Cash	\$		
	Symbol/CUSIP	Shares		Symbol/CUSIP	Shares
1			7		
2			8		
3			9		
4			10		
5			11		
6			12		
CUSTOMER AUTHORIZATION					
Customer Signature			Joint Account Holder		
I/We agree to hold all parties acting on this request, including the introducing broker and Apex Clearing Corporation, and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.					
NOTARY					
Notary Seal:					
_____ Notary Signature					
FOR INTERNAL USE ONLY					
Registered Principal Approval:					
_____ Print Name		_____ Title		_____ Signature	
_____ Print Name		_____ Title		_____ Signature	
Compliance Officer Approval/Registered Principal Approval					
_____ Print Name		_____ Title		_____ Signature	
_____ Print Name		_____ Title		_____ Signature	